

Section 4

PATENT
450100-4193

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Applicants : JUNICHI NAKATA et al.
Serial No. : 08/977,591
Filed : November 25, 1997
For : INFORMATION SIGNAL TRANSMISSION SYSTEM AND
REMOTE CONTROL DEVICE FOR THE SAME
Art Unit : 2741

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on April 14, 1998

for: GORDON KESSLER #38,511
William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or
Registered Representative

William S. Frommer
Signature

April 14, 1998
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File
Missing Parts of Application" (form PTO-1533) dated March 5, 1998
on the above application, a Declaration duly signed by the
inventors, and a check in the amount of \$170.00 in payment of the
required \$170.00 surcharge and the \$40.00 fee for recording the
Assignment is also enclosed.

04/21/1998 MP/0155 00000000 0007591

01 FC:105

130.00 OP

PATENT
450100-4193

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

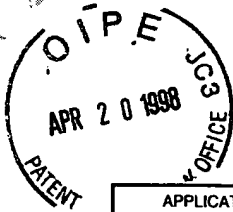
In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: Gordon Kessler #38,511
Gordon Kessler
For: William S. Frommer
Reg. No. 25,506
Tel. (212) 588-0800

Enclosure



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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03/577,391 11/25/97 NAKATA

450100-4193

0252/0305

NOT ASSIGNED

WILLIAM S FROMMER
FROMMER LAWRENCE AND HAUG
745 FIFTH AVENUE
NEW YORK NY 10151

2741

DATE MAILED: 03/05/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☒ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202



Sp 2741 ~~Receipt~~

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Washington, D.C. 20231, on April 14, 1998

GORDON KESSELER #38,511
For: William S. Frommer, Reg. No. 25,506
Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature

April 14, 1998
Date of Signature

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GROUP 2500

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98 JUN -3 AMU: 39 9:56
GROUP 2700 2700

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued
in the above-identified application.

Under Applicant(s):

Please change both instances of "TOYKO" to --TOKYO--

The foregoing changes are needed to correct inadvertent
errors, as evidenced by the Declaration submitted with the patent

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application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

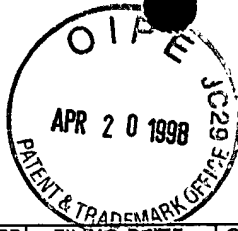
GORDON KESSLER #38,511

By: *Gordon Kessler*

For William S. Frommer
Reg. No. 25,506
Tel. (212) 588-0800

Enclosure

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/977,591	11/25/97	2741	\$954.00	450100-4193	9	15	5

WILLIAM S FROMMER
FROMMER LAWRENCE AND HAUG
745 FIFTH AVENUE
NEW YORK NY 10151

RECEIVED

MAY 29 1998

GROUP 2500

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JUNICHI NAKATA, ^{TOKYO} ~~TOYKO~~, JAPAN; YOSHIHIRO WAKITA, ^{TOKYO} ~~TOYKO~~, JAPAN.

FOREIGN APPLICATIONS- JAPAN

8-330439

11/26/96

TITLE

INFORMATION SIGNAL TRANSMISSION SYSTEM AND REMOTE CONTROL DEVICE FOR THE SAME

PRELIMINARY CLASS: 704

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